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DT03 Rec'd PCT/PTO 23 AUG 2002

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Technology Center 2100

FACSIMILE TRANSMITTAL SHEET

TO: Office of Initial Patent Examination's
Filing Receipt Corrections

FROM: Norman P. Soloway

COMPANY: U.S. Patent Office

DATE: August 23, 2002

FAX NUMBER: 703-746-9195

TOTAL NO. OF PAGES, 10
INCLUDING COVER SHEET: 11

PHONE NUMBER:

OUR REFERENCE: GSH 08-885347

☐ URGENT☐ FOR REVIEW☐ PLEASE COMMENT☐ PLEASE REPLY

NOTES/COMMENTS:**REQUEST FOR CORRECTION OF FILING RECEIPT**

In re Appln. Of:
Serial No.:
Filed:
For:
Docket:

CHOW et al.
10/031,834

January 22, 2002

TAMPER RESISTANT SOFTWARE ENCODING
GSH 08-885347

- Received:
1. Request for Correction of Filing Receipt (2 pgs)
 2. Copy of Filing Receipt w/ Marked Corrections (4 pgs)
 3. Copy of Declaration (4 pgs)

8-23-02 PDF

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#10

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLN. OF: CHOW et al.

SERIAL NO: 10/031,834

FILED: January 22, 2002

FOR: TAMPER RESISTANT SOFTWARE ENCODING

GROUP: 2131

DOCKET: GSH 08-885347

Office of Initial Patent Examination's
Filing Receipt Corrections
Commissioner of Patents & Trademarks
Washington, D.C. 20231

REQUEST FOR CORRECTION OF FILING RECEIPT

Dear Sir:

We enclose a copy of the filing receipt in which we have marked the correction for a clerical error contained therein, i.e., "Yuan Gu, **Kanata**, CANADA" should be "Yuan Gu, **Ontario**. CANADA". Also enclosed is a copy of the Declaration as support of the correct information.

We respectfully request that you correct your records and send a corrected filing receipt. We believe there are no fees involved with the correction. However, in the event there are any fees payable, please charge them to our Deposit Account No. 08-1391.

Respectfully submitted,



Norman P. Soloway
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Serial No. 10/031,834

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I hereby certify that this correspondence is being sent via facsimile to Office of Initial Patent Examination's, Filing Receipt Corrections, Commissioner of Patents and Trademarks, Washington, D.C. 20231 at (703) 746-9195 on August 23, 2002 at Tucson, Arizona.

By: Demise Franck

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FL FEE REC'D	ATTY DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/031,834	06/07/2002	2131	1146	GSH 08-885347	11	27	2

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JUL 29 2002

HAYES SOLOWAY

CONFIRMATION NO. 5762

FILING RECEIPT

0000000008482439

Date Mailed: 07/22/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Stanley T. Chow, Ontario, CANADA;
Harold J. Johnson, Ontario, CANADA;
Yuan Gu, ~~Kaneta~~, CANADA;
Ontario

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SEP 10 2002

Technology Center 2100

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/CA00/00677 06/08/2000

Foreign Applications

Projected Publication Date: Not Applicable, filed prior to November 29, 2000

Non-Publication Request: No

Early Publication Request: No

Title

Tamper resistant software encoding

Preliminary Class

380

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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

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UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/031,834	Stanley T. Chow	GSH 08-885347

Norman P Soloway
Hayes Soloway
130 W Cushing Street
Tucson, AZ 85701

INTERNATIONAL APPLICATION NO.	
PCT/CA00/00677	
IA FILING DATE	PRIORITY DATE
06/08/2000	

CONFIRMATION NO. 5762
371 ACCEPTANCE LETTER



0000000008482440

Date Mailed: 07/22/2002

**NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.494
OR 1.495**

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as an Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

06/07/2002
DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and
(c)(4) REQUIREMENTS

06/07/2002
DATE OF RECEIPT OF ALL 35 U.S.C.
REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** *The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.*

The following items have been received:

- U.S. Basic National Fee
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Preliminary Amendments
- Request for Immediate Examination

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

SHELBY J VIGIL

Telephone: (703) 305-3653

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

Attorney Docket No: GSH 08-885347

First Named Inventor: CHOW et al.

Complete if known: Serial No: 10/031,834 Filing Date: January 22, 2002

Group Art Unit: Examiner:

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled TAMPER RESISTANT SOFTWARE ENCODING, the specification of which is attached hereto.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, S. 1.56(a).

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate or of any PCT international application having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):

<u>Prior Foreign Application(s):</u>			<u>Priority Claimed</u>	<u>Certified Copy Attached</u>
(Number)	(Country)	(Month/Day/Year Filed)	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
_____	_____	_____	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
_____	_____	_____	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below:

Application No:

Filing Date:

60/164,892

November 10, 1999

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

<u>PCT/CA00/00677</u>	<u>June 8, 2000</u>	
US Parent Application No. or PCT Parent Appln. No.	Parent Filing Date	Parent Patent Number (if applicable)

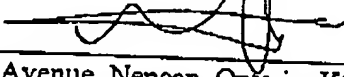
<u>09/329,117</u>	<u>June 9, 1999</u>	
US Parent Application No. or PCT Parent Appln. No.	Parent Filing Date	Parent Patent Number (if applicable)

And I hereby appoint HAYES SOLOWAY P.C., a firm composed of Oliver W. Hayes, Reg. No. 15,867; William O. Hennessey, Reg. No. 32,032; Peter W. Murphy, Reg. No. 43,822; Jeffrey T. Placker, Reg. No. 47,862, or any of them, of 175 Canal Street, Manchester, New Hampshire 03101 (Telephone: 603-668-1400); or Norman P. Soloway, Reg. No. 24,315; Dale F. Regelman, Reg. No. 45,625; or Kevin M. Drucker, Reg. No. 47,537, or any of them, of 130 W. Cushing Street, Tucson, Arizona 85701 (Telephone: 520-882-7623) my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent Office connected therewith.

Please direct all future correspondence in connection with this application to the attention of Norman P. Soloway, HAYES SOLOWAY P.C., 130 W. Cushing Street, Tucson, Arizona 85701 (Telephone: 520-882-7623).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: Stanley T. Chow

First Inventor's signature  Date Aug 23, 2002
Residence: 3338 Carling Avenue, Nepean, Ontario K2H 2A8, Canada
Citizenship: Canada
Post Office Address: Same as Residence

Full name of second joint inventor: **Harold J. Johnson**

Second Inventor's signature 

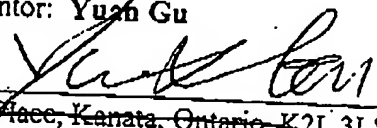
Residence: 4 Floral Place, Nepean, Ontario K2H 6N7, Canada

Date April 23, 2002

Citizenship: Canada

Post Office Address: Same as Residence

Full name of third joint inventor: **Yuan Gu**

Third Inventor's signature 

Residence: ~~90 Lightfoot Place, Kanata, Ontario K2L 3L8, Canada~~

Date April 23, 2002

Citizenship: Canada

Post Office Address: 39 Insmill Cres., Kanata, Ontario K2T 1G1

IMPORTANT NOTICE RE DUTY OF CANDOR AND GOOD FAITH

The Duty of Disclosure requirements of Section 1.56(a), of Title 37 of the Code of Federal Regulations are as follows:

A duty of candor and good faith toward the Patent and Trademark Office rests on the inventor, on each attorney or agent who prepares or prosecutes the application and on every other individual who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application. All such individuals have a duty to disclose to the Office information they are aware of which is material to the examination of the application. Such information is material where there is a substantial likelihood that a reasonable examiner would consider it important in deciding whether to allow the application to issue as a patent. The duty is commensurate with the degree of involvement in the preparation or prosecution of the application.

By virtue of this regulation each inventor executing the Declaration for the filing of a Patent Application acknowledges his duty to disclose information of which he is aware and which may be material to the examination of the application.

Inherent in this is the duty to disclose any knowledge or belief that the invention:

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- (d) has been patented or made the subject of inventor's certificate issued before the actual filing date of the U.S. patent application in any country foreign to the United States of America on an application filed by him or his legal representatives or assigns more than twelve months before the actual filing date in the United States.

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